

IN THE DISTRICT COURT OF THE UNITED STATES  
FOR THE MIDDLE DISTRICT OF ALABAMA  
NORTHERN DIVISION

UNITED STATES OF AMERICA                     )  
   )  
                  v.                                     )     CR. NO. 2:07-cr-208-MEF  
   )  
COKEY TYRONE LEE                             )

**PLEA AGREEMENT**

DEFENSE COUNSEL:                             MICHAEL PETERSEN

ASSISTANT U.S. ATTORNEY:                 SUSAN R. REDMOND

**COUNTS AND STATUTES CHARGED:**

Counts 1- 4.                 18 U.S.C. § 641  
                                  Theft of Government Property

Count 5.                    18 U.S.C. § 1028A  
                                  Aggravated Identity Theft

**COUNTS PLEADING PURSUANT TO PLEA AGREEMENT:**

Count 4.                    18 U.S.C. § 641

Count 5.                    18 U.S.C. § 1028A

**PENALTIES BY COUNT – MAXIMUM PENALTY:**

Count 4.                    18 U.S.C. § 641  
  
                                  NMT 10Y  
                                  NMT \$250,000 or twice gross gain or twice gross lost; both  
                                  NMT 3Y SUP REL;  
                                  \$100 AF  
                                  VWPA

Count 5. 18 U.S.C. §1028A

NLT 2Y, consecutive to sentence for underlying felony;  
NMT \$250,000; both  
1Y SUP REL;  
\$100 AF  
VWPA

**ELEMENTS OF THE OFFENSE:**

**18 USC § 641:**

- First: That the defendant voluntarily, intentionally and knowingly converted money or a thing of value to his/her own use; and,
- Second: That the money or thing of value belonged to the United States and had a value in excess of \$1000.00; and
- Third: That the defendant did so with intent to deprive the owner of the use or benefit of the money or thing of value so taken.

**18 USC § 1028A:**

- First: That the defendant knowingly used or possessed the “means of identification” of another person; and,
- Second: That the use or possession was without lawful authority; and,
- Third: That the use or possession was during and in relation to a violation of 18 U. S. C. § 641 (theft of government property).

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Susan R. Redmond, Assistant United States Attorney and Michael Petersen, attorney for the defendant, pursuant to Rule 11(c)(1)(C), Federal Rules of Criminal Procedure, as Amended, have, with the authorization of the undersigned defendant, heretofore entered into discussions with a view towards reaching a pretrial conclusion of the charge pending in the Indictment, and a Plea Agreement has been reached by said parties. The plea is being submitted to the Court pursuant to Federal Rules

of Criminal Procedure 11(c)(1)(C), and the parties understand that, if the terms of the Plea Agreement are not accepted by the Court, the defendant will be allowed to withdraw the defendant's plea of guilty and proceed to trial. If the Court accepts this agreement, however and defendant thereafter breaches this agreement, his guilty plea may not be withdrawn.

**GOVERNMENT'S PROVISIONS**

1. Upon entering a plea of guilty by the defendant to the offense charged in Count 4 and Count 5 of the Indictment, the attorney for the government will do the following:

a. The government will agree, that a 2-level reduction in the applicable offense level pursuant to U.S.S.G. § 3E1.1(a) for the defendant's acceptance of responsibility is appropriate, so long as the defendant does not obstruct justice or otherwise fail to accept responsibility for the offense conduct. Should the Government find the defendant assisted authorities in the investigation or prosecution of his own misconduct by timely notifying authorities of his intention to enter a plea of guilty, thereby permitting the Government to avoid preparing for trial and permitting the Government and this Court to allocate their resources efficiently, the Government will move at sentencing for a further reduction of one level, pursuant to U.S.S.G. § 3E1.1(b). Determination of whether the defendant's obligations to qualify for the reduction pursuant to U.S.S.G. § 3E1.1 is at the sole discretion of the United States.

b. The government agrees to recommend that, as to Count 4, a sentence at the bottom of the applicable advisory sentencing guidelines, as determined by the Court at sentencing, to run concurrent with a sentence of two (2) years as to Count 5, is an appropriate disposition of the case.

2. The United States reserves the right to inform the Court and the Probation Department of all facts pertinent to the sentencing process, including all relevant information concerning the offenses and the defendant's background.

**DEFENDANT'S PROVISIONS**

3. The defendant agrees to the following:
- a. To plead guilty to Count 4 and Count 5 of the Indictment.
  - b. To pay restitution in the amount of \$8,155.00 to the United States of America.

**FACTUAL BASIS**

The defendant admits the allegations charged in Count 1 of the Indictment and understands that the nature of the charge to which the plea is offered involves proof as to Count 4, that the defendant, on or about October 7, 2005, in the Middle District of Alabama, did embezzle, steal, purloin, or knowingly convert to his own use money or things of value of the United States and of a department and agency thereof, in an amount in excess of \$1,000.000, to-wit: FEMA disaster assistance funds in the amount of \$2,000.00, check number 221 74439273, made payable to LB, or did receive, conceal, or retain the same with the intent to convert it to his use and gain, knowing it to have been embezzled, stolen, purloined, or converted, in violation of Title 18, United States Code, Section 641.

The defendant admits the allegation charged in Count 5 of the Indictment and understands that the nature of the charge to which the plea is offered involves proof as to Count 5, that the defendant, from on or about September 26, 2005, and continuing until on or about July 19, 2006, in

the Middle District of Alabama, during and in relation to an enumerated felony, namely theft of FEMA disaster assistance funds, in the form of checks 2221 74439153; 2221 74625452; and 2221 26922138, all of which were made payable to JS, in amounts exceeding \$1000.00, in violation of Title 18, United States Code, Section 641, did knowingly transfer, possess, and use, without lawful authority, a means of identification of another person, that is, a social security number ending in the last four digits 1162, in violation of Title 18, United States Code, Section 1028A.

**DEFENDANT'S WAIVER OF APPEAL AND COLLATERAL ATTACK**

d. Understanding that 18 U.S.C. § 3742 provides for appeal by a defendant of the sentence under certain circumstances, the defendant expressly waives any and all rights conferred by 18 U.S.C. § 3742 to appeal the sentence. Defendant further expressly waives the right to appeal the conviction and sentence on any other ground, including upward departures and variances, and waives the right to attack the conviction and sentence in any post-conviction proceeding. This waiver does not include the right to appeal on the ground of ineffective assistance of counsel or prosecutorial misconduct.

In return for the above waiver by the defendant, the Government does not waive its right to appeal the sentence imposed in the instant case. The Government does not waive its right to appeal any order dismissing the Indictment, vacating a sentence, or otherwise terminating the prosecution at any stage of the proceedings. Further, the parties agree that nothing in this agreement shall affect the Government's right and/or duty to appeal as set forth in 18 U.S.C. § 3742(b). However, if the United States appeals the defendant's sentence pursuant to 18 U.S.C. § 3742(b), the defendant is

released from this waiver.

**DEFENDANT'S UNDERSTANDING AND ACKNOWLEDGMENT**

4. The defendant, before entering a plea of guilty to Count 4 and Count 5 of the Indictment as provided for herein by said Plea Agreement, advises the Court that:

a. The discussions between the attorney for the government and the attorney for the defendant towards reaching an agreed plea in this case have taken place with the defendant's authorization and consent.

b. Defendant acknowledges that a breach of this federal plea agreement, to include committing another federal, state, or local offense prior to sentencing on the pending charge, will not entitle him to withdraw his guilty plea in this case. Defendant understands and acknowledges that defendant's guilty plea will remain in full force and effect upon any breach of this agreement by the defendant. Defendant further understands that should he breach this agreement, this agreement will be null and void and he will be prosecuted for all offenses of which the government has knowledge. The parties agree that the Government will be the sole authority to decide whether Defendant has breached this Agreement.

c. The defendant further understands that, pursuant to Title 18, United States Code, Section 3013, said \$100.00/count assessment fee is to be paid by the defendant on the date of sentencing. The defendant will make an honest, good faith effort to pay said fine as directed by the Financial Litigation Section of the United States Attorney's Office. The defendant further understands that by completing the financial statement, the defendant is representing that it is true

and accurate to the best of the defendant's indictment, knowledge, and belief.

d. The defendant understands that the defendant has a right to be represented by an attorney at every stage of the proceedings against the defendant herein and is represented by the defendant's undersigned attorney.

e. The defendant understands that the defendant has the right to plead not guilty and has the right to be tried by a jury and, at a trial thereof, has the right to the assistance of counsel, the right to confront and cross-examine witnesses against the defendant, the right to call witnesses in the defendant's own behalf, and the right not to be compelled to incriminate the defendant, and that if the defendant enters a plea of guilty herein, there will not be a further trial of any kind and that by the entry of such a plea, the defendant waives the right to a trial by jury or to a trial before the Court.

f. The defendant further understands that in entering a plea of guilty herein, the Court may ask questions about the offense to which the plea is entered and further understands that if the defendant answers these questions under oath, on the record, and in the presence of counsel, which questions and answers would be recorded, that the answers may later be used against the defendant in a prosecution for perjury or false statement if the answers are not truthful.

g. The defendant further understands and advises the Court that the Plea Agreement as set forth herein and the plea to be entered by the defendant as a result thereof is voluntary on the defendant's part and is not the result of any force or threats or of any promises apart from the aforesaid Plea Agreement. The defendant further advises the Court that the Plea Agreement

set forth herein is the result of prior discussions between the attorney for the government, the attorney for the State and the attorney for the defendant, all conducted with the defendant's authorization, knowledge, and consent.

h. The defendant further advises the Court that the defendant's understanding of this Plea Agreement is as set forth in this document.

i. The defendant further advises the Court that it is understood that the government can only make a recommendation which is not binding on their respective Courts.

j. The defendant further advises the Court that the defendant understands and has been advised that evidence of a plea of guilty, later withdrawn or an offer to plead guilty to the crimes charged in the Indictment herein, or of statements made in connection with and relevant to said plea or offer to plead, shall not be admissible in any civil or criminal proceedings against the defendant. However, the defendant does understand that evidence of a statement made in connection with and relevant to a plea of guilty, later withdrawn, or an offer to plead guilty to the crimes charged in the Indictment herein, is admissible in a criminal proceeding for perjury or false statement when the statement was made by the defendant under oath, on the court record, and in the presence of counsel.

k. The defendant is satisfied that defense counsel has been competent and effective in representing defendant.

5. The undersigned attorneys for the government and for the defendant represent to the court that the foregoing Plea Agreement is the agreement of the parties that has been reached



pursuant to the Plea Agreement procedure provided for in Rule 11, Federal Rules of Criminal Procedure, as Amended. The attorney for the defendant further advises the Court that the defendant has been advised of the nature of the charges to which the foregoing described plea is to be offered, and that the defendant has been advised of the defendant's right to plead not guilty and to be tried by a jury on all issues herein; of the maximum possible penalty provided by law; that by the entering of a plea of guilty as aforesaid, the defendant waives the right to be tried by a jury or by the Court, waives the right to confront and cross-examine witnesses against the defendant and the right not to be compelled to incriminate the defendant; and that if the defendant pleads guilty, there will not be a further trial of any kind. Further, the defendant has been advised that if the defendant pleads guilty, the Court may ask questions about the offense to which the defendant has pleaded and that if the plea is rejected or later withdrawn, that the answers to such questions may not be used against the defendant in a civil or criminal proceeding, but that the defendant's answers may later be used against the defendant in a prosecution for perjury or false statement if the answers are not truthful.

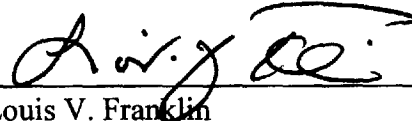
6. The defendant understands that the United States Probation Office will prepare a presentence investigation report for the Court. The Probation Officer will consider the defendant's conduct related to the offense to which the plea is offered, as well as the defendant's criminal history. The offense level or criminal history category, as calculated by the Probation Officer and determined by the court, may differ from that projected by defendant's counsel or the United States Attorney. In the event that the Court determines the defendant's offense level or criminal history category to be higher than the defendant anticipated, the defendant will have no right to withdraw the plea on

that basis.

This 9<sup>th</sup> day of January, 2008.

Respectfully submitted,

LEURA G. CANARY  
UNITED STATES ATTORNEY

A handwritten signature in black ink, appearing to read "Louis V. Franklin", written over a horizontal line.

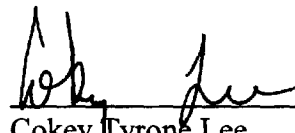
Louis V. Franklin  
Chief - Criminal Division  
U.S. Attorney's Office  
One Court Square, Suite 201  
Montgomery, Alabama 36104

A handwritten signature in black ink, appearing to read "Susan R. Redmond", written over a horizontal line.


Susan R. Redmond  
Assistant U.S. Attorney

I have read the foregoing Plea Agreement, understand the same, and the matters and facts set forth therein accurately and correctly state the representations that have been made to me and accurately set forth the conditions of the Plea Agreement that has been reached.

IN ADDITION TO THE FOREGOING PROVISIONS TO WHICH I AGREE, I SWEAR UNDER PENALTY OF PERJURY THAT THE FACTS IN THE "FACTUAL BASIS" PARAGRAPH ABOVE ARE TRUE AND CORRECT AND THAT I AM SATISFIED THAT I HAVE RECEIVED COMPETENT ADVICE AND REPRESENTATION FROM MY DEFENSE COUNSEL, MICHAEL PETERSEN.

  
\_\_\_\_\_  
Cokey Tyrone Lee  
Defendant

1/9/2008  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Michael Petersen  
Attorney for Defendant

1/9/2008  
\_\_\_\_\_  
Date